

ANNEXURE-C

Format for investor Complaints data to display by Intellect Stock Broking Ltd (DP-12066400) on their respective website

Data for every month ending

SL NO	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month	Average Resolution time (in day)
1	2	3	4	5	6	7	8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	30 Days
2	SEBI (SCORE)	Nil	Nil	Nil	Nil	Nil	30 Days
3	Depository/ Stock Brokers	Nil	Nil	Nil	Nil	Nil	30 Days
4	Other Source if any	Nil	Nil	Nil	Nil	Nil	30 Days
5	Grand Total	0	0	0	0	0	30 Days

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Resolved	Resolved	Pending
1	2	3	4	5	6
1	April -2022	Nil	Nil	Nil	Nil
2	May-2022	Nil	Nil	Nil	Nil
3	June- 2022	Nil	Nil	Nil	Nil
4	July- 2022	Nil	Nil	Nil	Nil
5	August -2022	Nil	Nil	Nil	Nil
6	September -2022	Nil	Nil	Nil	Nil
7	October -2022	Nil	Nil	Nil	Nil
8	Novemebr-2022	Nil	Nil	Nil	Nil
9	December-2022	Nil	Nil	Nil	Nil
10	January-2023	Nil	Nil	Nil	Nil
11	February-2023	Nil	Nil	Nil	Nil
12	March-2023	Nil	Nil	Nil	Nil
13	April--2023	Nil	Nil	Nil	Nil
14	MAY-2023	NIL	NIL	NIL	NIL
15	June-2023	NIL	NIL	NIL	NIL
16	July-2023	NIL	NIL	NIL	NIL
17	August-2023	Nil	Nil	Nil	Nil
18	September-2023	Nil	Nil	Nil	Nil
19	October-2023	Nil	Nil	Nil	Nil
20	November-2023	Nil	Nil	Nil	Nil
21	December-2023	Nil	Nil	Nil	Nil
22	January-2024	Nil	Nil	Nil	Nil
23	February-2024	Nil	Nil	Nil	Nil
24	March-2024	Nil	Nil	Nil	Nil

- Should include complaints of previous month in the current month.
- Should include total complaints pending as on last day of the month, if any.
- Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous month	Resolved during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil

3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
Grand Total		0	0	0	0

ANNEXURE-C

Format for investor Complaints data to display by Intellect Stock Broking Ltd Stock Broker-NSE-12965, BSE-6248 on their respective website

Data for every month ending

SL NO	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month	Average Resolution time (in day)
1	2	3	4	5	6	7	8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	30 Days
2	SEBI (SCORE)	Nil	Nil	Nil	Nil	Nil	30 Days
3	Depository/ Stock Brokers	Nil	Nil	Nil	Nil	Nil	30 Days
4	Other Source if any	Nil	Nil	Nil	Nil	Nil	30 Days
5	Grand Total	0	0	0	0	0	30 Days

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Resolved	Resolved	Pending
1	2	3	4	5	6
1	April -2022	Nil	Nil	Nil	Nil
2	May-2022	Nil	Nil	Nil	Nil
3	June- 2022	Nil	Nil	Nil	Nil
4	July- 2022	Nil	Nil	Nil	Nil
5	August -2022	Nil	Nil	Nil	Nil
6	September -2022	Nil	Nil	Nil	Nil
7	October -2022	Nil	Nil	Nil	Nil
8	Novemebr-2022	Nil	Nil	Nil	Nil
9	December-2022	Nil	Nil	Nil	Nil
10	January-2023	Nil	Nil	Nil	Nil
11	February-2023	Nil	Nil	Nil	Nil
12	March-2023	Nil	Nil	Nil	Nil
13	April—2023	Nil	Nil	Nil	Nil
14	MAY-2023	NIL	NIL	NIL	NIL
15	June-2023	NIL	NIL	NIL	NIL
16	July-2023	NIL	NIL	NIL	NIL
17	August-2023	Nil	Nil	Nil	Nil
18	September-2023	Nil	Nil	Nil	Nil
19	October-2023	Nil	Nil	Nil	Nil
20	November-2023	Nil	Nil	Nil	Nil
21	December-2023	Nil	Nil	Nil	Nil
22	January-2024	Nil	Nil	Nil	Nil
23	February-2024	Nil	Nil	Nil	Nil
24	March-2024	Nil	Nil	Nil	Nil

- Should include complaints of previous month in the current month.
- Should include total complaints pending as on last day of the month, if any.
- Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous month	Resolved during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
Grand Total		0	0	0	0

ANNEXURE-B

Format for investor Complaints data to display by Intellect Commodities Pvt Ltd- Stock Broker-MCX-35470 on their respective website

Data for every month ending

SL NO	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month	Average Resolution time (in day)
1	2	3	4	5	6	7	8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	30 Days
2	SEBI (SCORE)	Nil	Nil	Nil	Nil	Nil	30 Days
3	Depository/ Stock Brokers	Nil	Nil	Nil	Nil	Nil	30 Days
4	Other Source if any	Nil	Nil	Nil	Nil	Nil	30 Days
5	Grand Total	0	0	0	0	0	30 Days

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Resolved	Resolved	Pending
1	2	3	4	5	6
1	April -2022	Nil	Nil	Nil	Nil
2	May-2022	Nil	Nil	Nil	Nil
3	June- 2022	Nil	Nil	Nil	Nil
4	July- 2022	Nil	Nil	Nil	Nil
5	August -2022	Nil	Nil	Nil	Nil
6	September -2022	Nil	Nil	Nil	Nil
7	October -2022	Nil	Nil	Nil	Nil
8	Novemembr-2022	Nil	Nil	Nil	Nil
9	December-2022	Nil	Nil	Nil	Nil
10	January-2023	Nil	Nil	Nil	Nil
11	February-2023	Nil	Nil	Nil	Nil
12	March-2023	Nil	Nil	Nil	Nil
13	April—2023	Nil	Nil	Nil	Nil
14	MAY-2023	NIL	NIL	NIL	NIL
15	June-2023	NIL	NIL	NIL	NIL
16	July-2023	NIL	NIL	NIL	NIL

17	August-2023	Nil	Nil	Nil	Nil
18	September-2023	Nil	Nil	Nil	Nil
19	October-2023	Nil	Nil	Nil	Nil
20	November-2023	Nil	Nil	Nil	Nil
21	December-2023	Nil	Nil	Nil	Nil
22	January-2024	Nil	Nil	Nil	Nil
23	February-2024	Nil	Nil	Nil	Nil
24	March-2024	Nil	Nil	Nil	Nil

- **Should include complaints of previous month in the current month.**
- **Should include total complaints pending as on last day of the month, if any.**
- **Average resolution time is the sum total of time taken to resolved each compliant in the current month divided by total number of complaints resolved in the current month.**

Trend of annual disposal of complaints

SN	Year	Carried forward from previous month	Resolved during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
Grand Total		0	0	0	0